



**STONEHAGE FLEMING CORE PLATFORMS SWITZERLAND
FEE SCHEDULE**

CASH

FX MARGINS		Spot	Swap	Forward
CS, ZH EAM desk	up to CHF10k	100bps	0	100bps
	from CHF10k to CHF100k	80bps	0	80bps
	from CHF100k to CHF250k	50bps	0	50bps
	from CHF250k to CHF500	40bps	0	40bps
	from CHF500k to CHF1mio	25bps	0	25bps
	from CHF1mio to CHF5mio	20bps	0	20bps
	Over CHF5mio	10bps	0	10bps
UBS, NEU & ZH FIM desk	50% discount on UBS standard pricing (see Annexure 1)			
LODH, GVA & ZH EAM desk	up to CHF100k	75bps	10bps	75bps
	from CHF100k to CHF200k	50bps	10bps	50bps
	from CHF200k to CHF500k	20bps	10bps	20bps
	from CHF500k to CHF1mio	10bps	10bps	10bps
	from CHF1mio to CHF2mio	10bps	5bps	10bps
	from CHF2mio to CHF10mio	3bps	5bps	3bps
	over CHF10mio	3bps	2.5bps	3bps
FIXED TERM DEPOSITS COMMISSION/MARGIN				
CS, ZH EAM desk	Flat	10bps p.a.		
UBS, NEU & ZH FIM desk	Call	26.25bps p.a		
	Fixed Term	35bps p.a		
	Capital changes	CHF100		
	Min amount of deposit	CHF100k		
LODH, GVA & ZH EAM desk	up to CHF500k	25bps p.a.		
	from CHF500k to CHF2mio	18.75bps p.a.		
	from CHF2mio to CHF5mio	12.5bps p.a.		
	over CHF5mio	6.25bps p.a.		
	Min/transaction	CHF100		
PAYMENTS				
CS, ZH EAM desk	in EUR to the EU/EEA	CHF23 excl intermediary bk chgs		
	All others payments	CHF29 excl intermediary bk chgs		
UBS, NEU & ZH FIM desk		N/A excl intermediary bk chgs		
LODH, GVA & ZH EAM desk		N/A excl intermediary bk chgs		
CALL DEPOSITS & FIXED ADVANCES & DEBIT INTEREST ON UNAUTHORISED OVERDRAFTS				
Tariff available upon request				

Effective date: 01.08.2015 for UBS
Effective date: 16.02.2015 for CS
Effective date: 01.03.2015 for LODH



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EQUITIES

TRANSACTION FEES		
CS, ZH EAM desk	up to CHF250k	30bps
	from CHF250k to CHF1mio	20bps
	over CHF1mio	15bps
	Foreign brokerage fee	8bps
	Swiss Stamp Duty	15bps
	Min	CHF100
	Max	N/A
UBS, NEU & ZH FIM desk <i>Possible to negotiate specific pricing for clients of relevant size</i>	50% discount on UBS standard pricing (see Annexure 1)	
	Foreign brokerage fee	included
	Swiss Stamp Duty	15bps
	Min	CHF80
	Max	N/A
LODH, GVA & ZH EAM desk	<u>US&EU equities:</u>	
	up to CHF 100k	82.5bps
	from CHF100k to CHF200k	65bps
	from CHF200k to CHF300k	50bps
	from CHF300k to CHF 500k	42.5bps
	from CHF500k to CHF1mio	32.5bps
	over CHF1mio	27.5bps
	Up to 50% rebate on Swiss equities	
	Up to 30% more on the "Rest of the world" equities	
	Foreign brokerage fee	included
	Swiss Stamp Duty	15bps
	Min (for all equities)	CHF100
	Max	N/A

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for UBS

for CS

for LODH



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FEE SCHEDULE**

FIXED INTEREST SECURITIES

TRANSACTION FEES		
CS, ZH EAM desk	Flat rate	15bps on nominal
	Swiss Stamp Duty	15bps
	(applicable only if Swiss bond and/or Swiss client)	
	Min	CHF80
	Max	N/A
UBS, NE & ZH EAM desk <i>Possible to negotiate specific pricing for clients of relevant size</i>	50% discount on UBS standard pricing (see Annexure 1)	
	Foreign Brokerage Fee included	
	Swiss Stamp Duty	15bps
	(applicable only if Swiss bond and/or Swiss client)	
	Min	CHF80
LODH, GVA & ZH EAM desk	Max	N/A
	up to CHF100k	35bps on nominal
	from CHF100k to CHF200k	27.5bps on nominal
	from CHF200k to CHF300k	20bps on nominal
	from CHF300k to CHF500k	15bps on nominal
	from CHF500k to CHF1mio	10bps on nominal
	over CHF1mio	6.25bps on nominal
	Swiss Stamp Duty	15bps on nominal
	(applicable only if Swiss bond and/or Swiss client)	
	Min	CHF100
	Max	N/A

Effective date: 01.08.2015

for UBS

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for LODH



**STONEHAGE FLEMING CORE PLATFORMS SWITZERLAND
FEE SCHEDULE**

MUTUAL FUNDS

TRANSACTION FEES (SUBSCRIPTION, REDEMPTION & SWITCH)			
CS, ZH EAM desk	Money Market	Flat	10bps
	Min		CHF50
	Max		N/A
	Equity, Bond & Portfolio Funds	Flat	20bps
	Min		CHF50
	Max		N/A
	Hedge Funds/NTIP	Flat	25bps
	Min		CHF500
	Max		N/A
	Swiss Stamp Duty		15bps
UBS, NEU & ZH FIM desk <i>Possible to negotiate specific pricing for clients of relevant size</i>	50% discount on UBS standard pricing (see Annexure 1)		
	Min on Pre-Payment Funds		CHF625
	Swiss Stamp Duty		15bps
LODH, GVA & ZH EAM desk	Offshore Funds:		
	up to CHF100k		100bps
	from CHF100k to CHF500K		75bps
	over CHF500k		50bps
	Min		CHF250
	Max		N/A
	25% rebate on the above rates for Onshore fds		
	50% rebate on the above rates for LO recommended fds		
	Swiss Stamp Duty		15bps

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STONEHAGE FLEMING CORE PLATFORMS SWITZERLAND FEE SCHEDULE

CUSTODY

ANNUAL CUSTODY FEE (CASH AND DEPOSITS ARE FREE OF CUSTODY FEE)		
CS, ZH EAM desk	Flat rate	10bps
	Min	N/A
	Max	N/A
UBS, NEU & ZH FIM desk <i>Possible to negotiate specific pricing for clients of relevant size</i>	up to CHF5mio	40bps
	Over CHF5mio	TBN
	Surcharge for Traditional Funds	3.5bps
	Surcharge for Non Traditional Funds	14bps
	Min	N/A
	Max	N/A
LODH, GVA & ZH EAM desk	Up to CHF2.5mio	17.50bps
	From CHF2.5mio to CHF5mio	16.25bps
	From CHF5mio to CHF7.5mio	15.00bps
	From CHF7.5mio to CHF10mio	12.50bps
	From CHF10mio to CHF20mio	10.00bps
	Over CHF20mio	7.50bps
	Min	N/A
	Max	N/A
	Annual Banking Services Fee for accounts with assets above CHF1.5mio (incl payments, tax statement,)	CHF700
CHARGES FOR DELIVERY		
CS, ZH EAM desk	Free delivery out	CHF135
UBS, NEU & ZH FIM desk	Free delivery out	CHF120
LODH, GVA & ZH EAM desk	Free delivery out (min), depend on the stock	CHF50
	Free delivery out (max), depend on the stock	CHF500
OUT OF POCKET OR OTHER CHARGES/FEES		
CS, ZH EAM desk	Audit Letter	CHF300
	UK tax statements	CHF500
UBS, NEU & ZH FIM desk	Audit Letter	TBA
Banks reserve the right to charge for additional services, out of pocket expenses, exceptional Agent charges and or third party cost as advised ei custody of physical gold in collective vaults, fees for foreign securities deposited in foreign correspondent organizations.		
ALL IN PRICING		
CS, ZH EAM desk	Available upon request	
LODH, GVA & ZH EAM desk	Available upon request	

Effective date: 01.08.2015

for UBS

Effective date: 01.11.2016

for CS

Effective date: 01.03.2015

for LODH

ANNEXURE 1

UBS Standard Pricing for dealing:

Products/markets		Equities, ETFs, warrants, structured products, investment funds and similar securities ¹			Bonds, Eurobonds, notes, structured products in the Protection category
Transaction value in CHF		SIX Swiss Exchange	European and international main markets ²	Other markets	
up to	25 000	1,20%	1,90%	2,10%	0,90%
up to	50 000	1,10%	1,80%	2,00%	0,80%
up to	100 000	1,00%	1,70%	1,90%	0,70%
up to	200 000	0,80%	1,55%	1,75%	0,50%
up to	400 000	0,60%	1,35%	1,55%	0,40%
up to	1 000 000	0,40%	0,95%	1,15%	0,30%
from	1 000 001	0,20%	0,75%	0,95%	0,20%
Minimum (per order)		CHF 80	CHF 120	CHF 240	CHF 80

UBS Standard FX Pricing:

FX/PM SPOT MARK-UP

Below maximum mark-ups are applied per FX/PM spot transaction:

Currencies & Precious Metals	Transaction volume CHF equivalent			
	<100k	100k–<250k	250k–1m	>1m
Major Currencies ¹	1.60%	0.85%	0.40%	0.35%
Asian Major Currencies ²	1.80%	1.05%	0.45%	0.35%
Other Currencies	3.60%	3.00%	0.60%	0.50%
Precious Metals ³	2.20%	1.00%	0.60%	0.30%

Major Currencies	CHF, EUR, GBP, CAD, DKK, JPY, NOK, SEK, AUD, HKD, NZD, SGD, USD
Other Currencies	MYR, AED, BHD, CZK, DZD, EEK, HRK, HUF, ILS, ISK, KES, KWD, LKR, LTL, LVL, MAD, MXN, OMR, PLN, QAR, SAR, SKK, THB, TND, TRY, ZAR, RUB, RON

For FX & PM forward transactions the total mark-up fro the client consists of the spot mark-up plus the forward mark-up which is up to 0.80% pa (i.e 0.07% for a 30 days value fwd) on the spot Market Side Rate.

Effective as of Jan 2017