

STONEHAGE FLEMING INVESTMENT MANAGEMENT
(LIECHTENSTEIN) AG

Entity Level Disclosure



STONEHAGE
FLEMING

NOW AND FOR FUTURE GENERATIONS

The below firm level disclosures are required under the European Union Sustainable Finance Disclosure Regulation (SFDR).

SUSTAINABILITY RISK STATEMENT (ARTICLE 3)

At Stonehage Fleming Investment Management (Liechtenstein) AG (“SFIM LIE” “the Firm”) we believe that businesses that have a focus on sustainability have superior prospects and are better positioned to weather adverse conditions than those with poor sustainable profiles. We believe that consideration of the sustainable profile of companies alongside other fundamental investment factors is likely to improve investment outcomes. However, we have not included an explicit consideration of Environmental, Social and Governance (ESG) sustainability factors into our investment strategies.

PRINCIPLE ADVERSE IMPACTS STATEMENT (ARTICLE 4)

Presently, the Firm does not consider the adverse impacts of investment decisions on sustainability factors at the firm level. It is not mandatory for the firm to publish an Adverse Impacts Statement. We are not required to publish adverse impacts metrics under the SFDR, as have less than 500 employees.

REMUNERATION POLICIES (ARTICLE 5)

Currently, the Firm’s Remuneration Policy does not integrate sustainability risks.

ADHERENCE TO INTERNATIONAL STANDARDS (ARTICLE 4)

SFIM LIE is not a member of UN Principles for Responsible Investment (UNPRI) or any other relevant organisation, but we are evaluating the requirements and the timescale required to meet them.



