

SUPPLEMENT FOR CANADIAN INVESTORS

Stonehage Fleming Pooled Investments (Ireland) PLC



NOW AND FOR FUTURE GENERATIONS

**SUPPLEMENT FOR CANADIAN INVESTORS
STONEHAGE FLEMING POOLED INVESTMENTS (IRELAND) PLC**

To be completed by investors that are subject to the securities laws of Alberta, British Columbia, Ontario or Québec. Canadian residents that are subject to the securities laws of another Canadian jurisdiction should discuss with the Dealer.

This Canadian Supplement is incorporated into and forms part of the offering documents (the “**Fund Documents**”) for units (the “**Units**”) in a sub-fund (each, a “**Sub-Fund**”) of Stonehage Fleming Pooled Investments (Ireland) PLC (the “**Umbrella Fund**”) for Applicants resident in Ontario, Québec, Alberta and British Columbia (the “**Canadian Jurisdictions**” and each a “**Canadian Jurisdiction**”). The Fund Documents include: (i) the prospectus of the Umbrella Fund, dated October 29, 2019 (the “**Prospectus**”); (ii) the supplement to the Prospectus, which describes the Units of the applicable Sub-Fund (the “**Prospectus Supplement**”); and (iii) the application form of the Umbrella Fund (the “**Subscription Agreement**”); and (iv) this Canadian supplement to the Subscription Agreement (this “**Canadian Supplement**”), as each may be amended and/or restated from time to time. The Fund Documents have been provided or made available to the Applicant by (i) Stonehage Fleming Investment Management Limited (“**SFIML**”) or (ii) any other person that is registered or exempt from registration (either (i) or (ii) hereinafter referred to as the “**Dealer**”). Capitalized terms used and not defined in this Canadian Supplement have the meanings given to them in the Fund Documents. The Applicant has reviewed the Fund Documents in the course of making the decision to purchase the Units.

APPLICANT REPRESENTATIONS, WARRANTIES AND COVENANTS

The Applicant represents, warrants and covenants to the Umbrella Fund, applicable Sub-Fund, Link Fund Manager Solutions (Ireland) Limited in its capacity as investment fund manager of the Sub-Fund (the “**Manager**”), and the Dealer, that:

1. **Accredited Investor.** The Applicant is an “accredited investor” as that term is defined in Section 1.1 of National Instrument 45-106 *Prospectus Exemptions* or Section 73.3 of the *Securities Act* (Ontario), and the Applicant has identified the applicable category of accredited investor in the attached **Accredited Investor Certificate**.
2. **Permitted Client.** The Applicant is a “permitted client” as that term is defined in Section 1.1 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (“**NI 31-103**”), and the Applicant has identified the applicable category of permitted client in the attached **Permitted Client Certificate**.
3. **Jurisdiction of Residence.** The Applicant is located and resident in and was offered the Units in the Canadian Jurisdiction indicated in the signature block below, and the Applicant is not subject to the securities laws of any other province or territory of Canada or any other jurisdiction in connection with the Applicant’s purchase of the Units.
4. **Fund Documents.** The Applicant’s investment decision is based solely on the Fund Documents which have been provided to the Applicant and not on any other information concerning the Umbrella Fund, the Sub-Fund or the Units.
5. **Purchasing as Principal.** The Applicant is purchasing the Units as principal for the Applicant’s own account or the Applicant is deemed to be purchasing the Units as principal for the Applicant’s own account in accordance with applicable securities laws.



6. **Private Placement; Restrictions on Transfer and Resale.** Notwithstanding that the offering document of the Umbrella Fund is titled “Prospectus”, the Applicant acknowledges that the Units have not been and will not be qualified for sale to the public by prospectus under applicable Canadian securities laws and, accordingly, any sale of the Units in Canada will only be made on a basis which is exempt from the prospectus requirements of Canadian securities laws. Even if the Fund Documents state that the Units may be transferred by the Applicant, any such transfer may only be effected pursuant to a prospectus exemption under Canadian securities laws, or in a transaction not subject to the prospectus requirements of Canadian securities laws. In addition, such transfer may only be effected by the Dealer or another dealer that is appropriately registered or exempt from registration in the jurisdiction of the transfer or by a person not required to register as a dealer under Canadian securities laws.
7. **Suppression of Terrorism and Canadian Sanctions.** To the best of the Applicant’s knowledge, the funds being used to purchase the Units: (i) were not obtained or derived, directly or indirectly, as a result of illegal activities; (ii) are not intended to be used, directly or indirectly, in order to carry out a criminal offence or a terrorist activity or for the benefit of a terrorist group, (iii) are not owned or controlled by a terrorist group; and (iv) are not being tendered on behalf of a person or entity who has not been identified to you. The Applicant is not a person or entity identified on a list established under section 83.05 of the *Criminal Code* (Canada) or in any Regulations made under the *United Nations Act* (Canada), the *Freezing Assets of Corrupt Foreign Officials Act* (Canada), the *Justice for Victims of Corrupt Foreign Officials Act* (Canada), the *Special Economic Measures Act* (Canada) or any other Canadian statutes or regulations which take legislative measures against terrorist financing and against financial dealings with certain sanctioned individuals and entities (collectively, “**STCS Legislation**”). The Umbrella Fund, the Sub-Fund, the Manager, and the Dealer may in the future be required by law to disclose the name and other information relating to the Applicant, on a confidential basis, pursuant to STCS Legislation and the Applicant is deemed to have agreed to the foregoing.
8. **Updating Information.** If any of the foregoing representations, warranties or covenants changes in any material respect, the Applicant will notify the Umbrella Fund, the Sub-Fund, the Manager, and the Dealer promptly of such change and will provide updated information in connection with such change.
9. **Filing Fees.** If requested by the Umbrella Fund, the Sub-Fund, the Manager, or the Dealer, the Filer will pay or reimburse the Umbrella Fund, the Sub-Fund, the Manager, or the Dealer for filing fees associated with the reporting of the Filer’s exempt trade to the applicable securities regulatory authority.

NOTICES TO THE APPLICANT

The Applicant acknowledges receipt of the following notices:

1. **Enforcement of Legal Rights.** The Umbrella Fund, the Sub-Fund, the Manager, and the Dealer and their respective directors and officers, as well as any experts named in the Fund Documents, are or may be located outside of Canada and, as a result, it may not be possible for Applicants to effect service of process within Canada upon the Umbrella Fund, the Sub-Fund, the Manager, and the Dealer, or those persons. All or a substantial portion of the assets of the Umbrella Fund, the Sub-Fund, the Manager, the Dealer and those persons may be located outside of Canada and, as a result, it may not be possible to satisfy a judgment against the Umbrella Fund, the Sub-Fund, the Manager, the Dealer or those persons in Canada or to



enforce a judgment obtained in Canadian courts against the Umbrella Fund, the Sub-Fund, the Manager, the Dealer or those persons outside of Canada.

2. **International Dealer and International Investment Fund Manager.** SFIML is not registered as a securities dealer in any province or territory of Canada. All or substantially all of the assets of each of the Manager and SFIML may be situated outside of Canada and there may be difficulty enforcing legal rights against the Manager and SFIML for these reasons. The head office of: (i) the Manager is located at 1st Floor, 2 Grand Canal Square, Grand Canal Harbour, Dublin 2, Ireland; and (ii) SFIML is located at 15 Suffolk Street, London, SW1Y 4HG, United Kingdom. The agent for service of process of each of the Manager and SFIML in Ontario is Osler, Hoskin & Harcourt LLP, 100 King Street West, 1 First Canadian Place, Suite 6200, Toronto, ON M5X 1B8. The agent for service of process of each of the Manager and SFIML in Québec is Osler, Hoskin & Harcourt LLP, 1000 de la Gauchetière Street West, Suite 2100, Montréal, QC H3B 4W5. The agent for service of process of SFIML in Alberta is Osler, Hoskin & Harcourt LLP, Suite 2500, TransCanada Tower, 450 – 1st Street S.W., Calgary, Alberta T2P 5H1. The agent for service of process of SFIML in British Columbia is Osler, Hoskin & Harcourt LLP, 1055 West Hastings Street, Suite 1700, The Guinness Tower, Vancouver, British Columbia V6E 2E9.
3. **Rights of Action.** In connection with this distribution of Units which constitute “eligible foreign securities” as defined in Ontario Securities Commission Rule 45-501 *Ontario Prospectus and Registration Exemptions* and Multilateral Instrument 45-107 *Listing Representations and Statutory Rights of Action Disclosure Exemptions* or other applicable provisions, the Fund Documents may constitute an offering memorandum under applicable securities laws in Canada. The Applicant may have, depending on the Canadian Jurisdiction of residence, remedies for rescission or damages if the Fund Documents (including any amendment thereto) contain a misrepresentation, provided that the remedies for rescission or damages are exercised within the time limit prescribed by the securities legislation of the Canadian Jurisdiction. The Applicant should refer to any applicable provisions of the securities legislation of the applicable Canadian Jurisdiction for particulars of these rights or consult with a legal advisor.
4. **French Language Waiver.** If the Applicant is a Québec resident, the Applicant confirms the Applicant’s wish that this Canadian Supplement, the Fund Documents and all documents relating to the Subscription Agreement, have been and shall be drawn up in the English language only. *Les signatures conferment leur volonté que la présente convention, de même que tous documents s’y rattachant, y compris tout avis, annexe et autorisation, soient rédigés en anglais seulement.*

ACKNOWLEDGEMENTS REGARDING USE OF PERSONAL INFORMATION

1. The Applicant acknowledges that it has reviewed the Manager’s privacy and disclosure policies included in the Fund Documents and consents to the use and disclosure of personal information by the Dealer and/or the Manager as set out in such privacy and disclosure policies.
2. The Applicant acknowledges that by completing the Fund Documents, including this Canadian Supplement, it may be providing to the Umbrella Fund, the Sub-Fund, the Manager, and the Dealer and their delegates and agents personal information within the meaning of the *Personal Information Protection and Documents Act* (Canada), as amended (“**PIPEDA**”). The Applicant provides its consent to the Umbrella Fund, the Sub-Fund, the Manager, and the



Dealer and their delegates and agents, obtaining, holding, using, disclosing and processing the personal information as described in the Fund Documents.

3. To the extent that PIPEDA is applicable, the Applicant may have a right of access to its personal information kept by the Umbrella Fund, the Sub-Fund, the Manager, or the Dealer relating to the Applicant's purchase and holding of the Units and the right to amend and rectify any inaccuracies in its personal information held by the Umbrella Fund, the Sub-Fund, the Manager, or the Dealer relating to the Applicant's purchase and holding of the Units by making a request to such persons in writing.
4. By purchasing the Units, the Applicant acknowledges that information such as its name and other specified information, including specific purchase details, will be disclosed to Canadian securities regulatory authorities as part of a Report of Exempt Distribution on Form 45-106F1 (the "**Report**"). This information will not be placed on the public file of any securities regulatory authority or regulator, however, freedom of information legislation may require the securities regulatory authority or regulator to make this information available if requested.
5. If the Applicant is an individual, the Applicant is hereby notified that the following personal information about the Applicant will be disclosed to Canadian securities regulatory authorities in the Report: his or her full legal name, residential street address, telephone number, email address (if available), specific purchase details and details of the prospectus exemption relied on. Such information is collected on behalf of and used by the securities regulatory authority or regulator under the authority granted in securities legislation for the purposes of the administration and enforcement of securities legislation. By purchasing the Units, the Applicant authorizes this indirect collection of information by the securities regulatory authorities and regulators. Questions about the collection and use of this information should be directed to the securities regulatory authority or regulator in the province or territory where the Applicant is located or resident, as listed in Schedule "A".

CANADIAN TAX CONSIDERATIONS

THE FUND DOCUMENTS DO NOT ADDRESS THE CANADIAN TAX CONSEQUENCES OF THE ACQUISITION, HOLDING OR DISPOSITION OF THE UNITS. PROSPECTIVE APPLICANTS ARE STRONGLY ADVISED TO CONSULT THEIR OWN TAX ADVISORS WITH RESPECT TO THE CANADIAN AND OTHER TAX CONSIDERATIONS APPLICABLE TO THEM.



CANADIAN APPLICANT SIGNATURE

By signing below, the Applicant is providing the representations, warranties and covenants, and acknowledging receipt of the notices, set out in this Canadian Supplement as of the date set out below. If the undersigned signatory is an authorized representative of the Applicant set out below, by signing below the signatory confirms that he or she is authorized to provide the representations, warranties and covenants set out in this Canadian Supplement on behalf of the Applicant and acknowledges receipt of the notices set out in this Canadian Supplement on behalf of the Applicant.

Dated this ____ day of _____, 20__.

Applicant Name

Province or Territory of Residence

Applicant Signature

Name of Authorized Signatory



SUPPLEMENT FOR CANADIAN INVESTORS
Stonehage Fleming Pooled Investments (Ireland) PLC
ACCREDITED INVESTOR CERTIFICATE

The Applicant certifies that the Applicant is an “accredited investor” as that term is defined in Section 1.1 of National Instrument 45-106 *Prospectus Exemptions (NI 45-106)* or Section 73.3 of the *Securities Act (Ontario)*, by virtue of the fact that the Applicant is (please check **only one** box; if multiple boxes apply, check the box which is most relevant to the Applicant):

- (a) Either:
- (i) a Canadian financial institution, which means:
 - (A) an association governed by the *Cooperative Credit Associations Act (Canada)* or a central cooperative credit society for which an order has been made under section 473(1) of that Act; or
 - (B) a bank, loan corporation, trust company, trust corporation, insurance company, treasury branch, credit union, caisse populaire, financial services cooperative, or league that, in each case, is authorized by an enactment of Canada or a jurisdiction of Canada to carry on business in Canada or a jurisdiction in Canada;
 - (ii) or a Schedule III bank, meaning an authorized foreign bank named in Schedule III of the *Bank Act (Canada)*;
- (b) the Business Development Bank of Canada incorporated under the *Business Development Bank of Canada Act (Canada)*;
- (c) a subsidiary of any person referred to in paragraphs (a) or (b), if the person owns all of the voting securities of the subsidiary, except the voting securities required by law to be owned by directors of that subsidiary;
- (d) a person registered under the securities legislation of a jurisdiction of Canada as an adviser or dealer;
- (e) an individual registered under the securities legislation of a jurisdiction of Canada as a representative of a person referred to in paragraph (d);
- (e.1) an individual formerly registered under the securities legislation of a jurisdiction of Canada, other than an individual registered solely as a limited market dealer under one or both of the *Securities Act (Ontario)* or the *Securities Act (Newfoundland and Labrador)*;
- (f) the Government of Canada or a jurisdiction of Canada, or any crown corporation, agency or wholly owned entity of the Government of Canada or a jurisdiction of Canada;



- (g) a municipality, public board or commission in Canada and a metropolitan community, school board, the Comité de gestion de la taxe scolaire de l'île de Montréal or an intermunicipal management board in Québec;
- (h) any national, federal, state, provincial, territorial or municipal government of or in any foreign jurisdiction, or any agency of that government;
- (i) a pension fund that is regulated by the Office of the Superintendent of Financial Institutions (Canada), a pension commission or similar regulatory authority of a jurisdiction of Canada;
- (j) *[Intentionally omitted]*;
- (j.1) an individual who beneficially owns financial assets having an aggregate realizable value that before taxes but net of any related liabilities, exceeds Cdn. \$5,000,000;
- (k) *[Intentionally omitted]*;
- (l) *[Intentionally omitted]*;
- (m) a person, other than an individual or investment fund, that has net assets of at least Cdn. \$5,000,000 as shown on its most recently prepared financial statements, but not a person that is created or used solely to purchase or hold securities as an accredited investor;
- (n) an investment fund that distributes or has distributed its securities only to
 - (i) a person that is or was an accredited investor at the time of the distribution;
 - (ii) a person that acquires or acquired securities in the circumstances referred to in sections 2.10 *[Minimum amount investment]* and 2.19 *[Additional investment in investment funds]* of NI 45-106; or
 - (iii) a person described in paragraph (i) or (ii) that acquires or acquired securities under section 2.18 *[Investment fund reinvestment]* of NI 45-106;
- (o) an investment fund that distributes or has distributed securities under a prospectus in a jurisdiction of Canada for which the regulator or, in Québec, the securities regulatory authority, has issued a receipt;
- (p) a trust company or trust corporation registered or authorized to carry on business under the *Trust and Loan Companies Act* (Canada) or under comparable legislation in a jurisdiction of Canada or a foreign jurisdiction, acting on behalf of a fully managed account managed by the trust company or trust corporation, as the case may be;



- (q) a person acting on behalf of a fully managed account managed by that person, if that person is registered or authorized to carry on business as an adviser or the equivalent under the securities legislation of a jurisdiction of Canada or a foreign jurisdiction;
- (r) a registered charity under the *Income Tax Act* (Canada) that, in regard to the trade, has obtained advice from an eligibility adviser or an adviser registered under the securities legislation of the jurisdiction of the registered charity to give advice on the securities being traded;
- (s) an entity organized in a foreign jurisdiction that is analogous to any of the entities referred to in paragraphs (a) to (d) or paragraph (i) in form and function;
- (t) a person in respect of which all of the owners of interests, direct, indirect or beneficial, except the voting securities required by law to be owned by directors, are persons that are accredited investors;
- (u) an investment fund that is advised by a person registered as an adviser or a person that is exempt from registration as an adviser;
- (v) a person that is recognized or designated by the securities regulatory authority or, except in Ontario and Québec, the regulator as an accredited investor; or
- (w) a trust established by an accredited investor for the benefit of the accredited investor's family members of which a majority of the trustees are accredited investors and all of the beneficiaries of the trust are the accredited investor's spouse, a former spouse of the accredited investor, or a parent, grandparent, brother, sister, child or grandchild of that accredited investor, of that accredited investor's spouse or of that accredited investor's former spouse.

As used in this Accredited Investor Certificate, the following terms have the following meanings:

“control person” has the same meaning as in securities legislation; in Ontario, **“control person”** means

- (a) a person or company who holds a sufficient number of the voting rights attached to all outstanding voting securities of an issuer to affect materially the control of the issuer, and, if a person or company holds more than 20 per cent of the voting rights attached to all outstanding voting securities of an issuer, the person or company is deemed, in the absence of evidence to the contrary, to hold a sufficient number of the voting rights to affect materially the control of the issuer, or
- (b) each person or company in a combination of persons or companies, acting in concert by virtue of an agreement, arrangement, commitment or understanding, which holds in total a sufficient number of the voting rights attached to all outstanding voting securities of an issuer to affect materially the control of the issuer, and, if a combination of persons or companies holds more than 20 per cent of the voting rights attached to all outstanding voting securities of an issuer, the combination of persons or companies is deemed, in the absence of evidence to the contrary, to hold a sufficient number of the voting rights to affect materially the control of the issuer;



“eligibility adviser” means

- (a) a person that is registered as an investment dealer and authorized to give advice with respect to the type of security being distributed, and
- (b) in Manitoba, also means a lawyer who is a practicing member in good standing with a law society of a jurisdiction of Canada or a public accountant who is a member in good standing of an institute or association of chartered accountants, certified general accountants or certified management accountants in a jurisdiction of Canada provided that the lawyer or public accountant must not
 - (i) have a professional, business or personal relationship with the issuer, or any of its directors, executive officers, founders, or control persons, and
 - (ii) have acted for or been retained personally or otherwise as an employee, executive officer, director, associate or partner of a person that has acted for or been retained by the issuer or any of its directors, executive officers, founders or control persons within the previous 12 months;

“financial assets” means

- (a) cash,
- (b) securities, or
- (c) a contract of insurance, a deposit or an evidence of a deposit that is not a security for the purposes of securities legislation;

“fully managed account” means an account of a client for which a person makes the investment decisions if that person has full discretion to trade in securities for the account without requiring the client’s express consent to a transaction;

“related liabilities” means

- (a) liabilities incurred or assumed for the purpose of financing the acquisition or ownership of financial assets, or
- (b) liabilities that are secured by financial assets;

For the purpose hereof, an issuer is an **affiliate** of another issuer if

- (a) one of them is the subsidiary of the other, or
- (b) each of them is controlled by the same person.

For the purpose hereof, a person (first person) is considered to control another person (second person) if

- (a) the first person, directly or indirectly, beneficially owns or exercises control or direction over securities of the second person carrying votes which, if exercised,



would entitle the first person to elect a majority of the directors of the second person, unless that first person holds the voting securities only to secure an obligation,

- (b) the second person is a partnership, other than a limited partnership, and the first person holds more than 50% of the interests of the partnership, or
- (c) the second person is a limited partnership and the general partner of the limited partnership is the first person.



SUPPLEMENT FOR CANADIAN INVESTORS
Stonehage Fleming Pooled Investments (Ireland) PLC
PERMITTED CLIENT CERTIFICATE

The Applicant certifies that the Applicant is a “permitted client” as that term is defined in Section 1.1 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*, by virtue of the fact that the Applicant is (please check **only one** box; if multiple boxes apply, check the box which is most relevant to the Applicant):

- (a) a Canadian financial institution, or a Schedule III bank;
- (b) the Business Development Bank of Canada incorporated under the Business Development Bank of Canada Act (Canada);
- (c) a subsidiary of any person referred to in paragraphs (a) or (b), if the person owns all of the voting securities of the subsidiary, except the voting securities required by law to be owned by directors of that subsidiary;
- (d) a person or company registered under the securities legislation of a jurisdiction of Canada as an adviser, investment dealer, mutual fund dealer or exempt market dealer;
- (e) a pension fund that is regulated by either the federal Office of the Superintendent of Financial Institutions or a pension commission or similar regulatory authority of a jurisdiction of Canada or a wholly-owned subsidiary of such a pension fund;
- (f) an entity organized in a foreign jurisdiction that is analogous to any of the entities referred to in paragraphs (a) to (e);
- (g) the Government of Canada or a jurisdiction of Canada, or any Crown corporation, agency or wholly-owned entity of the Government of Canada or a jurisdiction of Canada;
- (h) any national, federal, state, provincial, territorial or municipal government of or in any foreign jurisdiction, or any agency of that government;
- (i) a municipality, public board or commission in Canada and a metropolitan community, school board, the Comité de gestion de la taxe scolaire de l’île de Montréal or an intermunicipal management board in Québec;
- (j) a trust company or trust corporation registered or authorized to carry on business under the Trust and Loan Companies Act (Canada) or under comparable legislation in a jurisdiction of Canada or a foreign jurisdiction, acting on behalf of a managed account managed by the trust company or trust corporation, as the case may be;
- (k) a person or company acting on behalf of a managed account managed by the person or company, if the person or company is registered or authorized to carry on business as an adviser or the equivalent under the securities legislation of a jurisdiction of Canada or a foreign jurisdiction;



- (l) an investment fund if one or both of the following apply:
 - (i) the fund is managed by a person or company registered as an investment fund manager under the securities legislation of a jurisdiction of Canada;
 - (ii) the fund is advised by a person or company authorized to act as an adviser under the securities legislation of a jurisdiction of Canada;
- (m) in respect of a dealer, a registered charity under the *Income Tax Act* (Canada) that obtains advice on securities to be traded from an eligibility adviser, as defined in section 1.1 of National Instrument 45-106 *Prospectus Exemptions (NI 45-106)* or an advisor registered under the securities legislation of the jurisdiction of the registered charity*;
- (n) in respect of an advisor, a registered charity under the *Income Tax Act* (Canada) that is advised by an eligibility adviser, as defined in section 1.1 of NI 45 106, or an advisor registered under the securities legislation of the jurisdiction of the registered charity*;
- (o) an individual who beneficially owns financial assets, as defined in section 1.1 of NI 45-106, having an aggregate realizable value that, before taxes but net of any related liabilities, exceeds Cdn. \$5 million;
- (p) a person or company that is entirely owned by an individual or individuals referred to in paragraph (o), who holds the beneficial ownership interest in the person or company directly or through a trust, the trustee of which is a trust company or trust corporation registered or authorized to carry on business under the *Trust and Loan Companies Act* (Canada) or under comparable legislation in a jurisdiction of Canada or a foreign jurisdiction;
- (q) a person or company, other than an individual or an investment fund, that has net assets of at least Cdn. \$25 million as shown on its most recently prepared financial statements; or
- (r) a person or company that distributes securities of its own issue in Canada only to persons or companies referred to in paragraphs (a) to (q).



SCHEDULE A

SUPPLEMENT FOR CANADIAN INVESTORS COLLECTION AND USE OF PERSONAL INFORMATION CONTACT INFORMATION FOR SECURITIES REGULATORY AUTHORITIES

Ontario Securities Commission

20 Queen Street West, 22nd Floor
Toronto, Ontario M5H 3S8
Telephone: (416) 593- 8314
Toll free in Canada: 1-877-785-1555
Facsimile: (416) 593-8122
Email: exemptmarketfilings@osc.gov.on.ca
Public official contact regarding indirect collection of information:
Inquiries Officer

Autorité des marchés financiers

800, Square Victoria, 22e étage
C.P. 246, Tour de la Bourse
Montréal, Québec H4Z 1G3
Telephone: (514) 395-0337 or 1-877-525-0337
Facsimile: (514) 873-6155 (For filing purposes only)
Facsimile: (514) 864-6381 (For privacy requests only)
Email: financementdesocietes@lautorite.qc.ca (For corporate finance issuers);
fonds_dinvestissement@lautorite.qc.ca (For investment fund issuers)

Alberta Securities Commission

Suite 600, 250 – 5th Street SW
Calgary, Alberta T2P 0R4
Telephone: 403-297-6454
Toll free in Canada: 1-877-355-0585
Facsimile: 403-297-2082
Public official contact regarding indirect collection of
information: FOIP Coordinator

British Columbia Securities Commission

P.O. Box 10142, Pacific Centre
701 West Georgia Street
Vancouver, British Columbia V7Y 1L2
Inquiries: 604-899-6854
Toll free in Canada: 1-800-373-6393
Facsimile: 604-899-6581
Email: FOI-privacy@bcsc.bc.ca
Public official contact regarding indirect collection of
information: FOI Inquiries



